IFW

Docket No.: 313449-P0004 C

# IN THE UNITED SATES FIENT AND TRADEMARK OFFICE

IN RE APPLICATION:

Frampton E. ELLIS, III

Conf. No.

1713

SERIAL NUMBER:

10/802,049

Group:

2153

FILED:

March 17, 2004

Examiner:

Unknown

FOR:

GLOBAL NETWORK COMPUTERS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

**Assistant Commissioner for Patents** 

March 29, 2007

PO BOX 1450

**ALEXANDRIA, VA 22313-1450** 

Sir:

Applicant(s) wish(es) to disclose the following information.

#### **REFERENCES**

Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

#### **EXPLANATION OF RELEVANCE**

## **RELATED CASES**

Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

Attorney Docket Number 313449-P0004 C1 Application Number 10/802,049

| <u>CERT</u> | <u>IFICATION</u>  |
|-------------|---|
| The ur      | ndersigned certifies that   |
|             | each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement (See 37 CFR 1.97(e)(1)).                                 |
|             | no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application, and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. (See 37 CFR 1.97(e)(2)) |
| BASIS       | S FOR CONSIDERATION   |
|             | This Information Disclosure Statement is filed:   |
|             | without fee and within three months of the filing date of the application. without fee and within three months of the date of entry of the U.S. national stage. without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).   |
|             | without fee and with the appropriate certification above. without fee and with a new CPA application. without fee and with a Request for Continued Examination. with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned). with fee, appropriate certification above, and before payment of the Issue Fee.              |

2

REST1\581979.1

Attorney Docket Number 313449-P0004 C1 Application Number 10/802,049

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith, or credit any overpayment to Deposit Account No. <u>50-3266</u>.

Respectfully submitted,

DLA PIPER US LLP

Lisa K. Norton Reg. No. 44,977 Attorney of Record

P.O. Box 9271 Reston, VA 20195 Tel. (703) 773-4000 Fax (703) 773-5200

LKN/maf

REST1\581979.1 3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitut              | Substitute for form 1449A/PTO |                        | Col                    | mplete if Known        |
|------------------------|-------------------------------|------------------------|------------------------|------------------------|
| SITTODMATI             | ION DISCI                     | OCUBE                  | Application Number     | 10/802,049             |
| PINFURIVIATI           | ION DISCE                     | LICANE                 | Filing Date            | March 17, 2004         |
| STATEMENT BY APPLICANT |                               |                        | First Named Inventor   | Frampton E. ELLIS, III |
| MAR 2 9 2007 (22 as ma | any sheets as necessa         | Frampton E. ELLIS, III |                        |                        |
| 3                      |                               |                        | Examiner Name          | Unknown                |
| Sheer                  | of                            | 2                      | Attorney Docket Number | 313449-P0004 C1        |

| U. S. PATENT DOCUMENTS |           |  |                                |   |   |  |
|------------------------|-----------|--|--------------------------------|---|---|--|
| Examiner<br>Initials*  | Cite No.1 | Document Number  Number-Kind Code <sup>2 (1 Frown)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                        |           | US -5,943,421  | 8/1/1999                       | Garbon  |   |  |
|                        |           | US -6,732,141  | 5/1/2004                       | Ellis   |   |  |
|                        |           | US -6,287,949  | 9/1/2001                       | Mori et.al.                                     |   |  |
|                        |           | US -6,440,775  | 8/1/2002                       | Khoury  |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   | · · · · · · · · · · · · · · · · · · ·   |  |
|                        |           | US -   |                                |   | · <del>· · · ·</del>  |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
| _                      |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |
|                        |           | US -   |                                |   |   |  |

| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>8</sup> |
|-----------------------|--------------|--|--------------------------------|--|---|----------------|
|                       |              |  |                                |  |   | <u> </u>       |
|                       |              |  |                                |  |   |                |
|                       |              | -  |                                |  | <u> </u>  | $\vdash$       |
| aminer<br>Inature     | _L           | <u></u>  |                                | Date<br>Considered                                 | 1   | <u></u>        |

•EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

|                                   | Substitu | ute for form 1449A/P | то      |                        | Complete if Known ^    |
|-----------------------------------|----------|----------------------|---------|------------------------|------------------------|
| INITA                             |          | ON DISCL             | SUIDE   | Application Number     | 10/802,049             |
| INFORMATION DISCLOSURE            |          |                      |         | Filing Date            | March 17, 2004         |
| STATEMENT BY APPLICANT            |          |                      | LICAN I | First Named Inventor   | Frampton E. ELLIS, III |
|                                   |          | Art Unit             | 2153    |                        |                        |
| (Use as many sheets as necessary) |          |                      | ssary)  | Examiner Name          | Unknown                |
| Sheet                             | 2        | of                   | 2       | Attorney Docket Number | 313449-P0004 C1        |

|                       |  | NON PATENT LITERATURE DO   | OCUMENTS                   |                  |                |
|-----------------------|--|--|----------------------------|------------------|----------------|
| Examiner Initials*    | Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |                            |                  | T <sup>2</sup> |
|                       |  | Foster et al., "The Grid: Blueprin<br>Infrastructure", Morgan Kaufmar  |                            | •                |                |
|                       |  | Hwang et al., "Scalable Parallel C                                     | Computing", WCB McGrav     | w-Hill, 1998.    |                |
|                       |  | Patterson et al., "Computer Archit<br>Edition), Morgan Kaufmann Publis |                            | pproach" (2nd    |                |
|                       |  | Culler et al., "Parallel Computer A<br>Publishers, Inc., 1998.         | Architecture", Morgan Kau  | ıfmann           |                |
|                       |  | Hennessy et al., "Computer Organ<br>Kauffmann Publishers, Inc., 1998   |                            | rgan             |                |
|                       |  | Steinert-Threlkeld; "NEW BREED<br>The Sun Baltimore; May 4, 1992       | OF CHIP TI develops a      | super circuit";  |                |
|                       |  | Dallas Morning News; "LSI holds<br>'system on a chip' creates niche in |                            |                  |                |
|                       |  | Mokhoff; "System-on-a-chip come<br>Engineering Times; Feb 12, 1996     |                            | ctronic          |                |
|                       |  | Cindi; "System on a Chip' stars at 1996                                | t ISSCC;" Electronic New   | s; Feb 19,       |                |
|                       |  | Ang; "System-on-a-chip to define<br>Engineering Times; Dec 15, 1995    |                            | box"; Electronic |                |
|                       |  | Marc; "New family of microproces customers' system-on-a-chip des       |                            |                  |                |
|                       |  | Wall Street Journal; "Technology Company unveils Microchip for H       |                            |                  |                |
|                       |  | Gelsinger, Patrick et al. "Micropro<br>October 1989 pp. 43-47.         | ocessors circa 2000," IEE  | E Spectrum,      |                |
|                       |  | Yu, Albert. "The Future of Micropi<br>1996, pp. 46-53.                 | processors," IEEE Micro, I | December         |                |
|                       |  | McWilliams. "Dell to Phase Out C<br>Wall Street Journal, Online, Septe |                            | anium," The      |                |
| Examiner<br>Signature |  | Date Considered  |                            |                  |                |

<sup>•</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional), 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.